Jefferies India Private Limited

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# <u>Consolidated Investors Complaints Data relating to Merchant Banking activities - Data for the moth ending 31st January 2025</u>

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024		-	-	-	-
2	October, 2024		-	-	-	-
3	November, 2024		-	-	-	-
4	December, 2024		-	-	-	-
5	January, 2025		-	-	-	-
	<b>Grand Total</b>		-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

### IPOS & FPOS (INCLUDING OFS) - Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024	-	-	-	-
2	October, 2024	-	-	-	-
3	November, 2024	-	-	-	-
4	December, 2024	-	-	-	-
5	January, 2025	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	=	=	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### RIGHTS ISSUE- Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024	-	-	-	-
2	October, 2024	-	-	-	-
3	November, 2024	-	-	-	-
4	December, 2024	-	-	-	-
5	January, 2025	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### QUALIFIED INSTITUIONS PLACEMENT (QIPS) - Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024		-	-	-	-
2	October, 2024		-	-	-	-
3	November, 2024		-	-	-	-
4	December, 2024		-	-	-	-
5	January, 2025		-	-	-	-
•	<b>Grand Total</b>		-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### PREFENTIAL ISSUE - Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024		-	-	-	-
2	October, 2024		-	-	-	-
3	November, 2024		-	-	-	-
4	December, 2024		-	-	-	-
5	January, 2025		-	-	-	-
	<b>Grand Total</b>		-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### SME IPOS & FPOS (INCLUDING OFS) - Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024	-	-	-	-
2	October, 2024	-	-	-	-
3	November, 2024	-	-	-	-
4	December, 2024	-	-	-	-
5	January, 2025	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### BUYBACK OF SECURITIES - Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024		-	-	-	-
2	October, 2024		-	-	-	-
3	November, 2024		-	-	-	-
4	December, 2024		-	-	-	-
5	January, 2025		-	-	-	-
	<b>Grand Total</b>		-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### DELISTING OF EQUITY SHARES- Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024		-	-	-	-
2	October, 2024		-	-	-	-
3	November, 2024		-	-	-	-
4	December, 2024		-	-	-	-
5	January, 2025		-	-	-	-
	<b>Grand Total</b>		-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS- Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forwar from previou month		Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024	-	-	-	-
2	October, 2024	-	-	-	-
3	November, 2024	-	-	-	-
4	December, 2024	-	-	-	-
5	January, 2025	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### PUBLIC ISSUE OF INVITS – Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried from month	forward previous	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024		-	-	-	-
2	October, 2024		-	-	-	-
3	November, 2024		-	-	-	-
4	December, 2024		-	-	-	-
5	January, 2025		-	-	-	-
	<b>Grand Total</b>		-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

### PUBLIC ISSUE OF REITS- Data for the month ending 31st January 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forwar from previou month		Resolved during the particular month *	Pending at the end of the particular month #
1	September, 2024	-	-	-	-
2	October, 2024	-	-	-	-
3	November, 2024	-	-	-	-
4	December, 2024	-	-	-	-
5	January, 2025	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.