

APPENDIX 1 – EXECUTION VENUES INFORMATION

Below is a list of execution venues, regularly used by Jefferies GmbH when executing orders on behalf of clients. This list is not exhaustive and is subject to change, and will be re-issued periodically. Jefferies receives no payment for order flow and no inducements from any venue.

1) Execution and trading venues for Jefferies GmbH

Equities

- No direct market access by Jefferies GmbH
- Jefferies GmbH (acting as SI)¹

Fixed Income, Bonds, Notes

- Jefferies GmbH (acting as SI)²

Derivatives

- No direct market access by Jefferies GmbH

¹ Where Jefferies GmbH is used as execution venue, the quality of the execution will be reviewed in the same way as it would for any other execution venue.

² Where Jefferies GmbH is used as execution venue, the quality of the execution will be reviewed in the same way as it would for any other execution venue.

APPENDIX 2

Brokers regularly used based on their best execution policy and their main execution venues

Below is a list of brokers and their main execution venues regularly used by Jefferies GmbH when routing clients orders on behalf of clients. This list is not exhaustive and is subject to change, and will be re-issued periodically. Jefferies receives no payment for order flow and no inducements from any broker.

1) Brokers used by Jefferies GmbH

Equities

- Jefferies International Limited
- Jefferies LLC
- Equita Societa' Di Intermediazione Mobiliare S.P.A.
- Jefferies Hong Kong Limited
- Jefferies India Private Limited
- Jefferies Japan Limited

Fixed Income, Bonds, Notes

- Jefferies International Limited
- Jefferies LLC
- Jefferies Hong Kong Limited
- Jefferies India Private Limited
- Jefferies Japan Limited

Derivatives

- Jefferies International Limited

2) Main execution venues used by brokers

Equities

- ASX – All Markets (XASX), AUS
- Bolsa de Madrid (XMAD), ESP
- CBOE Europe - BXE Periodic (BATP), UK
- CBOE Europe - CXE Dark Order (CHID), UK
- Turquoise Plato (TRQM), UK
- CBOE Europe - CXE Order Books (CHIX), UK
- London Stock Exchange (LSE) - MTF (XLON), UK
- Aquis Exchange (AQEU), France
- BATS Chi-X Europe (BATD), UK
- Blockmatch MTF (BLOX), UK
- Deutsche Boerse (Xetra) (XETA), Germany
- Euronext Lisbon (XLIS), Portugal
- Euronext Amsterdam (XAMS), Netherlands
- Euronext Brussels (XBRU), Belgium
- Euronext Paris (XPAR), France
- Euronext Block MTF (XSMP), UK
- ITG Posit MTF (ITGI), US
- Liquidnet MTF (LIQU), UK
- London Stock Exchange (LSE) (XLON), UK

- Nasdaq/NGS – Global Select Market (XNGS), US
- Nasdaq/NMS - Global Market (XNMS), US
- Nasdaq Stockholm (XSTO), SWE
- New York Stock Exchange, Inc. (XNYS), US
- Oslo Bors (XOSL), NOR
- SigmaX MTF (SGMX), UK
- SIX Swiss Exchange (XSWX), CH
- Tokyo Stock Exchange (XTKS), JPTurquoise MTF (TRQX), UK
- UBS MTF (XUBS), UK
- Jefferies International Limited (Affiliate memberships in Asia include: Jefferies Hong Kong Limited / Hong Kong Stock Exchange; Jefferies India Private Limited / Bombay Stock Exchange & National Stock Exchange; and Jefferies Japan Limited / Tokyo Stock Exchange & Osaka Exchange)³

Fixed Income, Bonds, Notes & Derivatives

- ASX – All Markets (XASX), AUS
- BGC Group (Fenics, GFI, EM Bonds, Aurel BGC) (BGCI), UK
- Bloomberg (BMTF), UK
- Bolsa de Madrid (XMAD), ESP
- Bondvision (BVUK), UK
- CME NEX Markets (Brokertec) (BTTE), UK
- Deutsche Boerse (Eurex) (XEUR), Germany
- Deutsche Boerse (Eurex Repo) (XEUP)
- ICE Futures Europe Ltd (IFEU), UK
- Borsa Italiana Group (includes MTS, EuroTLX, MOT, EuroMOT) (XMIL), Italy
- Euronext Lisbon (XLIS), Portugal
- Euronext Amsterdam (XAMS), Netherlands
- Euronext Brussels (XBRU), Belgium
- Euronext Paris (XPAR), France
- Fixed Income Instruments (ISMA)
- London Stock Exchange Group (ORB) (XLON), UKMarket Axess Europe Limited (includes Market Axess, RMO) (MAEL), UK
- Nasdaq/NMS - Global Market (XNMS), US
- Nasdaq/NGS – Global Select Market (XNGS), US
- New York Mercantile Exchange (XNYM), USOslo Bors (XOSL), NOR
- SGX Bond Trading Pte. Limited (XSBT), Singapore
- Tokyo Stock Exchange (XTKS), JP
- Tradeweb (includes Dealerweb), (TWEU), Netherlands
- TP ICAP Group (ISDX), UK
- Jefferies International Limited (Affiliate memberships in Asia include: Jefferies Hong Kong Limited / Hong Kong Stock Exchange; Jefferies India Private Limited / Bombay Stock Exchange & National Stock Exchange; and Jefferies Japan Limited / Tokyo Stock Exchange & Osaka Exchange)⁴

The most up to date and maintained list of MIC Codes is published on: <https://www.iso20022.org/market-identifier-codes>

³ Where Jefferies International Limited, affiliates, or third party brokers are used as execution venues, the quality of their execution will be reviewed in the same way as it would for any other execution venue.

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